

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gang HE et al.

Serial No: 09/827,141

Art Unit: 2877

Filed: April 6, 2001

JUL 24 2001

Examiner: n/a

Confirmation No.: 5105

Subject: MULTI-PASS TUNABLE OPTICAL FILTER USING A POLARIZATION-DEPENDENT FILTER ELEMENT; AND MULTI-PASS OPTICS THEREFOR

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231, U.S.A.

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(b)

Sir:

To comply with applicants' duty of disclosure under 37 CFR 1.56, Form PTO-1449, listing documents known to applicant, is submitted herewith.

- ☒ Copies of the listed documents are enclosed.
- ☐ This is a continuing application and copies of the documents were submitted in respect of the parent application No. ....

**Relevance of information not in English - 37 CFR 1.98(a)(3)**

- ☐ To comply with 37 CFR 1.98(a)(3), EITHER a copy of US patent number ..... is submitted herewith, such US patent being cited in a family of patents corresponding to ..... patent number ..... listed in the form PTO 1449. OR an English language abstract is appended to ... patent No. .... (document AX)
- ☐ Document # discloses
- ☐ The relevance of .... is discussed in the specification.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialled copy of form PTO-1449 be returned in accordance with MPEP Sec. 609.

The Commissioner is hereby authorized to charge any fee required to Deposit Account No. 20-0771.

Respectfully submitted



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DATE: July 4, 2001

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Docket No. AP639US